

Colmex Pro Trading Account Application- Investor`s Questionnaire

This questionnaire should be filled in by all Company's Individual Clients. Please ensure that you have completed **all** sections of this questionnaire.

If you elect not to provide the information regarding your knowledge and experience with regards to particular financial instruments or if you provide insufficient information, this may not allow the Company to determine the appropriateness of specific services and/or products and may result in relevant restrictions in their providing by the Company, including failure to conclude the Trading Agreement with you.

Personal information

Personal Details

First Name:

Surname:

Place of birth:

Date of birth:

ID/Passport Number:

Nationality:

ID/Passport Expiry Date:

Residential Address

Street and number

Town/City:

(P.O.B not applicable):

Area/State:

Postal code

Country:

Telephone number (country code + area code + number)

Mobile number (country code +area code+ number)

Personal Email:

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Colmex Pro Ltd (Colmex Pro) is authorized and licensed by the Cyprus Securities and Exchange Commission – License Number 123/10

Employment Details/ Economic Profile

Employment status: Employed Self Employed Student Retired Not Working

Nature of Business

Full description of occupation/job title:

Name of Employer/Company Name:

Employer's address/work address

(Containing street and number, city, area/state, postal code and country):

Employer's telephone (country code + area code + number):

What is your annual income (EUR):

- Less than €50,000 €50,000 – €99,999
 €100,000- €249,999 more than €250,000 (please specify) _____

What is your total estimated net worth (EUR):

- Less than €99,999 €100,000 - €349,999
 €350,000- €999,999 more than €1,000,000 (please specify) _____

What is the purpose of this trading account?

- Investment Hedging Speculation

What is the expected origin of incoming funds to be credited in the account:

- Credit Card Wire Transfer Cheque

Please state the name of the bank

What is the expected destination of outgoing transfers/payments:

- Same as above If other please specify (credit card/ wire transfer/ cheque) _____

What is your estimated amount of investment with our company (EUR):

- Less than €50,000 €100,000 - €249,999
 €50,000 - €99,999 more than €250,000 (please specify) _____

Source of funds:

- Salary Dividends from Investments Family Savings Inheritance
 Other (please specify) _____

Expected frequency of transactions per month:

- 1-20 21-50 51-100 above 100

The purpose and the reason for requesting the establishment of a business relationship:

- Trading Investing If other please specify _____

Are you a Politically Exposed Person (PEP):

- YES NO

Current Knowledge and Experience

Educational level

School Certificate Bachelors Master's degree Doctorate

Do you have any financial education, such as degree in finance, accounting, banking or similar, or have you worked at the financial sector for at least 1 year?

Yes No

What is your level of knowledge and experience in Derivatives such as CFDs on equities, Forex, CFDs on Indices, commodities and options?

None Less than 1 year 1 year 1-3 years More than 3 years

Number of trades in derivatives for the last 12 months

None 1-40 41-99 More than 100

Have you ever used leverage for trading before?

Yes No

By using Leverage, you have the possibility to buy more than your equity?

True False

If one believes the underlying asset (such as Gold) will rise, the investor will choose:

Long Position (Buy) Short Position (Sell)

CFD is a tradable contract between the client and the broker.

True False

With an initial deposit of \$1,000 and a 1:50 leverage, your buying power is up to \$50,000. With an initial deposit of \$1,000 and a leverage of 1:100, what will be your buying power?

\$50,000 \$78,000 \$100,000 \$70,000

A trader takes a short position when the gold price is traded \$600 per ounce, and sells at 590\$, what is the outcome of this transaction?

Profit Loss

Bank Information

Please provide us with the details of your bank account that will be used to deposit and withdraw funds from your trading account.

Bank Name:

Account Number:

Branch Number: Bank Swift Code:

City/Country: Postal Code:

Bank Address:

Name of the account holder:

Tax Residency Self-Certification

Please complete the following table indicating (i) where you are tax resident and (ii) your TIN for each jurisdiction indicated.

If a TIN is unavailable please provide the appropriate reason **A**, **B** or **C** where indicated below:

Reason A - The jurisdiction where I am resident does not issue TINs to its residents

Reason B - I am otherwise unable to obtain a TIN or equivalent number (Please explain why you are unable to obtain a TIN in the below table if you have selected this reason)

Reason C - No TIN is required. (Note. Only select this reason if the domestic law of the relevant jurisdiction does not require the collection of the TIN issued by such jurisdiction)

	Jurisdiction of tax residence	TIN	If no TIN available enter Reason A, B or C
1			
2			

Please explain why you are unable to obtain a TIN if you selected Reason B above:

1 _____

2 _____

Client's Declaration

I acknowledge that the information contained in this form and information regarding the Account Holder and any Reportable Account(s) may be provided to the Cyprus tax authorities and exchanged with tax authorities of other jurisdiction(s) in which I may be tax resident pursuant to intergovernmental agreements to exchange financial account information.

I certify that I am the Account Holder of all the account(s) to which this form relates.

I undertake to advise Colmex Pro Ltd immediately of any errors, omissions or changes in the information provided in this form.

I, [full name] _____ declare that all statements made in this declaration are, to the best of my knowledge and belief, correct and complete.

FATCA

U.S. Foreign Account Tax Compliance Act

U.S. Tax residency status

Please tick if any of the below is relevant to the account holder:

- U.S. citizenship or lawful permanent resident (green card) status;
- A U.S. birthplace (or certificate of incorporation for legal entity / partnership with United States address)
- A U.S. residence address or a U.S. correspondence address (including a U.S. P.O. box);
- Standing instructions to transfer funds to an account maintained in the United States, or directions regularly received from a U.S. address;
- An “in care of” address or a “hold mail” address that is the sole address with respect to the client; or
- A power of attorney or signatory authority granted to a person with a U.S. address
- All the above mentioned are not currently applicable to me.**

Client's Declaration

I, [full name] _____
hereby declare that all the information provided herein are true, accurate and complete. This information is provided in support of my application for a Colmex Pro Trading Account. I will inform the Company of any changes to the information stated above. I have carefully read, understood and fully accept the Company's Terms and Conditions.

Supporting Documentation

Proof of ID

Please provide us with a valid passport and National ID card if available (the identification document must show your picture, personal details, signature, issue and expiry dates, date and place of issue as well as the serial number). If a valid passport is not available, please provide us with two other forms of official ID i.e. National ID card and Driving License. All documents must be sent in colour.

Proof of residence

Recent utility bill dated within the last six months, current local authority tax bill, bank or credit card statement. This document must be in colour and state your name and residential address. Internet statements are not accepted unless stamped in colour by the issuing institution.

Client's Declaration

I hereby confirm that all the statements made in this Investor's Questionnaire and all information, documents or others (hereinafter referred to as the "Information"), is complete true and accurate, and that it is provided on my own initiative. I agree to be fully liable for the information provided herein and do not object for information being collected to confirm my business reputation. For any change or amendment in the information provided herein I remain responsible to notify Colmex Pro Ltd.

I, hereby agree to the processing of my personal and/or confidential data by the Company to its group companies or third parties within or outside the EEA (European Economic Area) for any purposes related to the performance of this Agreement.

Client's Declaration

I, [full name] _____
hereby declare that I have read, understood and accepted the Company's Terms & Conditions (Agreement) as well as the Disclosures stated below.

- | | | |
|-------------------------------------------------------|---------------------------------------------------|-------------------------------------------------------|
| <input type="checkbox"/> Terms and Conditions | <input type="checkbox"/> General Risk Disclosure | <input type="checkbox"/> Order Execution Policy |
| <input type="checkbox"/> Investment Compensation Fund | <input type="checkbox"/> Clients Categorization | <input type="checkbox"/> Conflicts of Interest Policy |
| <input type="checkbox"/> Target Market Disclosure | <input type="checkbox"/> Key Information Document | |

Signature

Date

Risk Warning: Trading in financial markets carries risks. The value of the investment can both increase or decrease and the investors may lose all their invested capital. Leveraged products may not be suitable for everyone and you should ensure that you understand the risk involved. For more information on risks associated with trading in financial markets please read our [General Risk Disclosure](#). The Company is not in the position to assess your full compatibility with the products offered through its platforms. For more information on the target market for the products offered by the Company please read our [Target Market Disclosure](#).

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