

# Contract Specifications

## Contract for difference (CFD)

Colmex Pro offers 3 types of trading accounts for trading CFDs.

### Multi-Asset Trading Account

#### Product Description

The multi-asset trading account has been designed to enhance Colmex Pro customers' trading experience, by enabling them to trade through one trading account multiple assets.

The account is accessed through Colmex Pro 2.0 Platform, and its base currency is USD.

#### Operating Times

24h 7 days a week.

#### Fees

Trading in the Account shall be subject to fees and commissions as any other trading account of Colmex Pro. The applicable fees are described herein.

#### *Plans*

The plans offered on the account:

Plan	Bronze	Silver	Gold	Platinum	Diamond
Minimum deposit	\$500	\$5,000	\$10,000	\$25,000	\$50,000

#### *Commissions per trade*

CFDs on US Stocks and ETFs shall be subject to commissions charged per each individual trade executed on the Account. Commissions are charged per shares bought or sold with a minimum order fee.

Plan	Fee per Share	Minimum order
Bronze	\$0.01	\$1.5
Silver	\$0.008	\$1.5
Gold	\$0.007	\$1.5
Platinum	\$0.006	\$1.25
Diamond	\$0.005	\$1

#### Calculation Example:

##### Gold Plan

When opening a trade of 100 shares:  $0.007 \times 100 = 0.7 < 1.5 = 1.5$ . The account will be charged with \$1.5.

When opening a trade of 300 shares:  $0.007 \times 300 = 2.1 > 1.5 = 2.1$ . The account will be charged with \$2.1.

Rev. September 2022

117 Makariou III Avenue & Sissifou (ex Lefkosias-Limnazousas) Street, Quarter of Apostoloi Petrou & Pavlou, 3021 Limassol, Cyprus  
Tel: +(357) 25 030036 | Fax: +(357) 25 030037 | E: [info@colmexpro.com](mailto:info@colmexpro.com) | W: [www.colmexpro.com](http://www.colmexpro.com)

Colmex Pro Ltd (Colmex Pro) is authorized and licensed by the Cyprus Securities and Exchange Commission – License Number 123/10

### *Spread*

Any other CFDs instrument traded in the Account is charged in form of spread.

The spread is the difference between Bid and Ask prices of a certain instrument. The bid-ask spread is commonly used to charge fees. The spread is incorporated in the quoted buy and sell prices.

The spread varies across instruments. Please see information on average spreads\* applicable for each instrument [here](#).

**\*Note:** Average spread is calculated under regular market conditions, based in conglomerated data. The spread will differ based on market conditions, changes in the instrument prices and times of trading (example: before the closing of the market, overnight, or at the opening of the market).

### *SWAP*

All positions held overnight are subject to a financing fee, applied in form of SWAP. SWAP is calculated daily, and positions held during the weekend are charged per three days (Friday, Saturday & Sunday). The SWAP is charged at 00:00 GMT.

The SWAP varies across instruments.

The SWAP applied to CFDs on US Stocks and ETFs are: -6% on long positions and -5% on short positions.

For other CFDs instruments, please check this [document](#).

### **Calculation Formula:**

$SWAP = (Price * Lot\ size * Amount\ in\ lot * \% \text{ Swap value}) / \text{Annual days number}$

Where:

**Price** – current price (00:00 GMT)

**Lot size** – depending on the contract

**Amount in lot** – position size

**% Swap value** – according to Appendix II

**Annual days number** – 365

### *Other fees*

#### Platform fee

The Company reserves the right to charge a fixed monthly fee (“platform fee”) of 30 USD (or 27 EUR for EUR based accounts) per month for CFDs accounts and 55 USD per month for Equities accounts in order to maintain the account assuming that the Client’s account has the available funds.

Rev. September 2022

117 Makariou III Avenue & Sissifou (ex Lefkosias-Limnazousas) Street, Quarter of Apostoloi Petrou & Pavlou, 3021 Limassol, Cyprus  
Tel: +(357) 25 030036 | Fax: +(357) 25 030037 | E: [info@colmexpro.com](mailto:info@colmexpro.com) | W: [www.colmexpro.com](http://www.colmexpro.com)

Colmex Pro Ltd (Colmex Pro) is authorized and licensed by the Cyprus Securities and Exchange Commission – License Number 123/10

### Real-time Data Feed

Nasdaq OMX Market Data Fee of \$15.1 (NYSE/AMEX \$2.4, NAS \$1.2, NAS Level II \$11.5) is charged on a monthly basis.

### Order Types

- MARKET ORDER
- LIMIT ORDER
- STOP ORDER
- STOP LIMIT ORDER
- TRAILING STOP ORDER

### Stocks CFDs account

#### Product Description

The Stocks CFDs account is designed for trading on CFDs on US Stocks and ETFs.

The account is accessed through Colmex Pro 2.0 Platform or Colmex Pro MultiTrader Platform and its base currency is USD.

#### Operating Times

From 9:30 to 16:00 New York time, daily from Monday to Friday.

#### Fees

##### *Tiered Plans*

Plan	Conditions	
<b>Bronze</b>	Minimum deposit: \$500 Minimum order: \$1,5 per trade Order routing: \$0.0015	\$0.01 per additional share (over 150 shares)
<b>Silver</b>	Minimum deposit: \$5,000 Minimum order: \$1,5 per trade Order routing: \$0.0015	\$0.008 per additional share (Over 188 shares)
<b>Gold</b>	Minimum deposit: \$10,000 Minimum order: \$1,5 per trade Order routing: \$0.0015	\$0.007 per additional share (Over 215 shares)
<b>Platinum</b>	Minimum deposit: \$25,000 Minimum order: \$1,25 per trade Order routing: \$0.0015	\$0.006 per additional share (Over 209 shares)
<b>Diamond</b>	Minimum deposit: \$50,000 Minimum order: \$1 per trade Order routing: \$0.0015	\$0.005 per additional share (Over 200 shares)

Rev. September 2022

117 Makarlou III Avenue & Sissifou (ex Lefkosias-Limnazousas) Street, Quarter of Apostoloi Petrou & Pavlou, 3021 Limassol, Cyprus  
Tel: +(357) 25 030036 | Fax: +(357) 25 030037 | E: [info@colmexpro.com](mailto:info@colmexpro.com) | W: [www.colmexpro.com](http://www.colmexpro.com)

Colmex Pro Ltd (Colmex Pro) is authorized and licensed by the Cyprus Securities and Exchange Commission – License Number 123/10

### Fixed Plans

The client may choose to request fixed fees on his trading account.

Plan	Conditions	
<b>Bronze</b>	Minimum deposit: \$2,000 \$12 up to 3000 shares Order routing: \$0.0015	\$0.006 for each additional share
<b>Silver</b>	Minimum deposit: \$5,000 \$9 up to 3000 shares Order routing: \$0.0015	\$0.006 for each additional share
<b>Gold</b>	Minimum deposit: \$15,000 \$6 up to 3000 shares Order routing: \$0.0015	\$0.007 for each additional share
<b>Platinum</b>	Minimum deposit: \$25,000 \$8 up to 4000 shares Order routing: \$0.0015	\$0.005 for each additional share
<b>Diamond</b>	Minimum deposit: \$50,000 \$9 up to 5000 shares Order routing: \$0.0015	\$0.004 for each additional share

\* Order routing is per share

\*\*Fixed plans are made available according to the Company's sole discretion after review of client's trading volume, remaining balance and a trading model. Fixed plans might change instantaneously at the company's sole discretion upon notification to the customer of the relevant changes prior to the subsequent opening of a trading session.

### Routing Fee

Plans	Number of shares*						
	0-399	400-599	600-799	800-999	1,000-1,499	1,500-2,499	2,500+
<i>Low</i>	\$ 0.0015	\$ 0.0020	\$ 0.0020	\$ 0.0020	\$ 0.0040	\$ 0.0060	\$ 0.0080
<i>Mid</i>	\$ 0.0015	\$ 0.0020	\$ 0.0030	\$ 0.0040	\$ 0.0060	\$ 0.0080	\$ 0.0120
<i>High</i>	\$ 0.0020	\$ 0.0040	\$ 0.0060	\$ 0.0080	\$ 0.0100	\$ 0.0300	\$ 0.0500
<i>CFDR Legacy</i>	\$ 0.0020	\$ 0.0020	\$ 0.0020	\$ 0.0020	\$ 0.0020	\$ 0.0020	\$ 0.0020
<i>Low Liquidity</i>	\$ 0.0100	\$ 0.0200	\$ 0.0300	\$ 0.0400	\$ 0.0500	\$ 0.0500	\$ 0.0500
<i>Mid Liquidity</i>	\$ 0.0200	\$ 0.0300	\$ 0.0400	\$ 0.0500	\$ 0.0500	\$ 0.0500	\$ 0.0500
<i>High Liquidity</i>	\$ 0.0500	\$ 0.0500	\$ 0.0500	\$ 0.0500	\$ 0.0500	\$ 0.0500	\$ 0.0500

\*Number of shares range refers to number of shares in a single CFD when placing an order.

\*\*The Routing Fee Plans might change instantaneously at the company's sole discretion due to market liquidity, trading volume and trading type/model of the client account and upon notification to the client of the relevant changes prior to the subsequent opening of a trading session.

### Financing fee

- Overnight daily margin interest of 0.026% applies to leveraged positions (Positions > Equity), for the difference between the value of the positions and the equity.
- An additional Overnight daily margin interest (Buying power overused) of 0.5% applies to leveraged positions that exceed twice the equity (Positions > Equity × 2), for the difference between the value of positions and equity × 2.
- The charges apply to open positions kept overnight and are calculated daily, during

Rev. September 2022

117 Makariou III Avenue & Sissifou (ex Lefkosias-Limnazousas) Street, Quarter of Apostoloi Petrou & Pavlou, 3021 Limassol, Cyprus  
Tel: +(357) 25 030036 | Fax: +(357) 25 030037 | E: [info@colmexpro.com](mailto:info@colmexpro.com) | W: [www.colmexpro.com](http://www.colmexpro.com)

Colmex Pro Ltd (Colmex Pro) is authorized and licensed by the Cyprus Securities and Exchange Commission – License Number 123/10

the weekend per three days (Friday, Saturday & Sunday).

### **Calculation Example:**

Positions left open on close of trading day on Friday.

End of day equity: 1500. End of day positions value: 3500.

Overnight daily margin interest of 0.026% =  $(3500 - 1500) \times 0.026\% = \$0.52 \times \text{number of nights (3)} = \$1.56$

Overnight daily margin interest of 0.5% (BP over used) =  $(3500 - 1500 \times 2) \times 0.5\% = \$2.5 \times \text{number of nights (3)} = \$7.5$

Total Financing fee = \$9.06

### *Other fees*

#### Platform fee

The Company reserves the right to charge a fixed monthly fee (“platform fee”) of 30 USD (or 27 EUR for EUR based accounts) per month for CFDs accounts and 55 USD per month for Equities accounts in order to maintain the account assuming that the Client’s account has the available funds.

#### Real-time Data Feed

Nasdaq OMX Market Data Fee of \$15.1 (NYSE/AMEX \$2.4, NAS \$1.2, NAS Level II \$11.5) is charged on a monthly basis.

### **Order Types**

- MARKET ORDER
- LIMIT ORDER
- STOP ORDER
- STOP LIMIT ORDER
- TRAILING STOP ORDER

## **MT4 Account**

### **Product Description**

The MT4 trading account puts all the right tools at the customers’ disposal enabling them to take advantage of opportunities to maximize trading potential on commodities, indices, precious metals and Forex currency.

The account is accessed through MT4 Platform, and Clients’ can choose between USD and EUR base currency.

### **Operating Times**

24h from Sunday 22:00 GMT to Friday 21:00 GMT

Rev. September 2022

117 Makariou III Avenue & Sissifou (ex Lefkosias-Limnassousas) Street, Quarter of Apostoloi Petrou & Pavlou, 3021 Limassol, Cyprus  
Tel: +(357) 25 030036 | Fax: +(357) 25 030037 | E: [info@colmexpro.com](mailto:info@colmexpro.com) | W: [www.colmexpro.com](http://www.colmexpro.com)

Colmex Pro Ltd (Colmex Pro) is authorized and licensed by the Cyprus Securities and Exchange Commission – License Number 123/10

## Fees

### *Spread based plans*

The plans offered on the account:

Plan	Bronze	Silver	Gold	Platinum
Minimum deposit	\$500	\$5,000	\$10,000	\$50,000

The spread is the difference between Bid and Ask prices of a certain instrument. The bid-ask spread is commonly used to charge fees. The spread is incorporated in the quoted buy and sell prices.

The spread varies across instruments. See information on average spreads\* applicable for different plans [here](#).

**\*Note:** Average spread is calculated under regular market conditions, based in conglomerated data. The spread will differ based on market conditions, changes in the instrument prices and times of trading (example: before the closing of the market, overnight, or at the opening of the market).

### *Commission based plans*

Colmex Pro also offers commission based plans. These plans are structured with a tighter spread, while the client pays a fee on the execution of a transaction.

The plans offered on the account:

Plan	Basic	Standard	VIP	Premium VIP
Minimum deposit	\$500	\$5,000	\$10,000	\$50,000

The spread and commission vary across instruments. See information on average spreads\* and commissions applicable for different plans [here](#).

**\*Note:** Average spread is calculated under regular market conditions, based in conglomerated data. The spread will differ based on market conditions, changes in the instrument prices and times of trading (example: before the closing of the market, overnight, or at the opening of the market). The commission fee is per 1 lot for all financial products, calculated per side. The account holder has the possibility to pay fully or partially the commission in USD or pips.

### *SWAP*

If a trade is kept open overnight, then there is a swap calculated on that position. The swap is calculated as the overnight interest rate the position held, depending on the type of the position (long/short).

The SWAP varies across instruments. Please check [this document](#) for details.

It is important to remember that one can gain or lose on swap and as such, one has either positive swap or negative swap.

In the trading terminal 'swap' is automatically converted into the balance currency. Operation is conducted between 20:30 – 00:00 GMT. From Friday to Monday swap is charged

Rev. September 2022

once. From Wednesday to Thursday swap is charged at a triple rate.

The Company reserves the right to change the swap value applicable to the Financial Instruments. The client can check in the Company's platform the swap rate of the financial instrument before placing any order.

Islamic accounts\* are available upon request.

\*Islamic accounts shall not be subject to swap fees. It should be noted that the spreads applicable for such accounts shall be higher than the ones applicable to regular accounts. More specifically, the spread available for Islamic accounts is:

- For major currency pairs - in addition to the regular spread there will be an additional 2 pips over the FX commission plans indicated in the table below
- For exotic currency pairs - in addition to the regular spread there will be an additional 4 pips over the FX commission plans indicated in the table below

### Order Types

- MARKET ORDER
- LIMIT ORDER
- STOP ORDER

### Instruments Specifications

#### Rollover

Future contracts are subject to rollover, since they have expiration dates. Position held past the expiration rollover to switch from expired contract to the new contract. The client will be debited or credited rollover based on the difference in the contracts.

**For example**, if the current contract of \$20 expires, the position will be closed and a new contract on the same underlying asset will be open at a new price. If the new contract price is \$21, the account will incur a \$1 charge.

#### Currency

CFDs Instruments may be traded in various currencies, according to the Instrument specifications.

**Definition:** 1 GBX = 0.01 GBP

Where a traded symbol is denominated in currency other than the account base currency, the instrument shall be listed in its original currency. When opening a position on such instrument, the value of the position and the P&L shall be indicated in the account base currency converted from the denominated currency at mid-market rate\*.

\*Mid-market rate formula:  $(\text{BID} + \text{ASK}) / 2$

#### Leverage

Leverage provided in CFD accounts varies from 1:2 to 1:30, in dependence of the underlying asset:

Rev. September 2022

117 Makariou III Avenue & Sissifou (ex Lefkosias-Limnizousas) Street, Quarter of Apostoloi Petrou & Pavlou, 3021 Limassol, Cyprus  
Tel: +(357) 25 030036 | Fax: +(357) 25 030037 | E: [info@colmexpro.com](mailto:info@colmexpro.com) | W: [www.colmexpro.com](http://www.colmexpro.com)

Colmex Pro Ltd (Colmex Pro) is authorized and licensed by the Cyprus Securities and Exchange Commission – License Number 123/10

- 1:30 for major Currency Pairs;
- 1:20 for non-major Currency Pairs, Gold and Indices Futures;
- 1:10 for Commodity Futures and Spot Metals other than Gold;
- 1:5 for Stocks and ETFs;
- 1:2 for cryptocurrencies.

Rev. September 2022

117 Makarlou III Avenue & Sissifou (ex Lefkosias-Limnazousas) Street, Quarter of Apostoloi Petrou & Pavlou, 3021 Limassol, Cyprus  
Tel: +(357) 25 030036 | Fax: +(357) 25 030037 | E: [info@colmexpro.com](mailto:info@colmexpro.com) | W: [www.colmexpro.com](http://www.colmexpro.com)

Colmex Pro Ltd (Colmex Pro) is authorized and licensed by the Cyprus Securities and Exchange Commission – License Number 123/10



## Trading Hours

Instrument	Trading Hours
US Stocks and ETFs	09:30 - 16:00 (New York)
Crypto	24/7
Finnish Stocks	9:00 - 17:25 CET
French Stocks	9:00 - 17:30 CET
German Stocks	9:00 - 17:30 CET
Italian Stocks	9:00 - 17:30 CET
Dutch Stocks	9:00 - 17:30 CET
Spanish Stocks	9:00 - 17:30 CET
Swedish Stocks	9:00 - 17:25 CET
Swiss Stocks	9:00 - 17:20 CET
Norwegian Stocks	9:00 - 16:20 CET
UK Stocks	8:00 - 16:30 London time
FX	24/5, opening at 21:00 Sunday and closing at 20:00 Friday (GMT).
Metals	24/5, opening at 23:00 Sunday and closing at 21:00 Friday (GMT).
EUROBUND	02:10 - 22:00 CET
EUSTX50	02:10 - 22:00 CET
GER40	02:10 - 22:00 CET
NAS100	24/5, From 19:00 Sunday till 17:00 Friday, with a break between 17:00 - 18:00 (New York)
SPX500	24/5, From 19:00 Sunday till 17:00 Friday, with a break between 17:00 - 18:00 (New York)
US30	24/5, From 19:00 Sunday till 17:00 Friday, with a break between 17:00 - 18:00 (New York)
SUI30	08:00 - 22:00 CET
UK100	01:00 - 21:00 GMT
UKOIL	24/5, From 23:00 Sunday till 22:00 Friday, with a break between 22:00 - 00:00 (GMT)
USDINDEX	00:00 - 21:00 GMT
USOIL	24/5, From 23:00 Sunday till 22:00 Friday, with a break between 22:00 - 00:00 (GMT)

Rev. September 2022

117 Makariou III Avenue & Sissifou (ex Lefkosias-Limnazosas) Street, Quarter of Apostoloi Petrou & Pavlou, 3021 Limassol, Cyprus  
 Tel: +(357) 25 030036 | Fax: +(357) 25 030037 | E: [info@colmexpro.com](mailto:info@colmexpro.com) | W: [www.colmexpro.com](http://www.colmexpro.com)

Colmex Pro Ltd (Colmex Pro) is authorized and licensed by the Cyprus Securities and Exchange Commission – License Number 123/10

## Equities (Stocks)

### Product Description

Equities are traded in a designated trading account through Colmex Pro 2.0 Platform or Colmex Pro Multi Trader Platform, and its base currency is USD.

### Operating Times

From 9:30 to 16:00 New York time, daily from Monday to Friday.

### Pre and After Market Hours

#### *Colmex Pro 2.0 Platform*

Pre-Market From 05:00 to 09:30 New York time

After-Market From 16:00 to 17:00 New York time

#### *Colmex Pro Multi Trader Platform*

Pre-Market From 07:00 to 09:30 New York time

After-Market From 16:00 to 17:00 New York time

### Fees

For all types of Financial Instruments that the Company offers, the commissions, financing fees, ECN, TAF and SEC fees are not incorporated into the Company's quoted price and are charged extra and deducted from the client's account.

### Tiered Plans

Plan	Conditions
<b>Bronze</b>	Minimum deposit: \$2,000 Minimum order: \$1,5 Order routing: \$0.0015 \$0.01 per additional share (over 150 shares) ECN and Sec fees Apply
<b>Silver</b>	Minimum deposit: \$10,000 Minimum order: \$1,5 Order routing: \$0.0015 \$0.008 per additional share (over 188 shares) ECN and SEC Fees Apply
<b>Gold</b>	Minimum deposit: \$25,000 Minimum order: \$1,50 Order routing: \$0.0015 \$0.007 per additional share (over 215 shares) ECN and SEC Fees Apply
<b>Platinum</b>	Minimum deposit: \$50,000 Minimum order: \$1,50 Order routing: \$0.0015 \$0.0065 per additional share (over 230 shares) ECN and SEC Fees Apply
<b>Titanium</b>	Minimum deposit: \$75,000 Minimum order: \$1,50 Order routing: \$0.0015 \$0.006 per additional share (over 250 shares) ECN and SEC Fees Apply
<b>Diamond</b>	Minimum deposit: \$100,000 Minimum order: \$1,50 Order routing: \$0.0015 \$0.005 per additional share (over 300 shares) ECN and SEC Fees Apply

Rev. September 2022

117 Makarlou III Avenue & Sissifou (ex Lefkosias-Limnazousas) Street, Quarter of Apostoloi Petrou & Pavlou, 3021 Limassol, Cyprus  
Tel: +(357) 25 030036 | Fax: +(357) 25 030037 | E: [info@colmexpro.com](mailto:info@colmexpro.com) | W: [www.colmexpro.com](http://www.colmexpro.com)

Colmex Pro Ltd (Colmex Pro) is authorized and licensed by the Cyprus Securities and Exchange Commission – License Number 123/10

## Fixed Plans

The client may choose to request fixed fees on his trading account.

Plan	Conditions	
<b>Bronze</b>	Minimum deposit: \$25,000 \$6.95 up to 3000 shares Order routing applies	\$0.006 per additional share (over 150 shares) ECN and Sec fees Apply
<b>Silver</b>	Minimum deposit: \$50,000 \$9.95 up to 6000 shares Order routing applies	\$0.06 per additional share (over 200 shares) ECN and SEC Fees Apply

\* Order routing is per share

\*\*Fixed plans are made available according to the Company's sole discretion after review of client's trading volume, remaining balance and a trading model. Fixed plans might change instantaneously at the company's sole discretion upon notification to the customer of the relevant changes prior to the subsequent opening of a trading session.

## Financing fee

- Overnight daily margin interest of 0.026% applies to leveraged positions (Positions > Equity), for the difference between the value of the positions and the equity.
- An additional Overnight daily margin interest (Buying power overused) of 0.5% applies to leveraged positions that exceed twice the equity (Positions > Equity × 2), for the difference between the value of positions and equity × 2.
- The charges apply to open positions kept overnight and are calculated daily, during the weekend per three days (Friday, Saturday & Sunday).

For example: End of day equity: 1500. End of day positions value: 3500.

Overnight daily margin interest of 0.026% =  $(3500 - 1500) \times 0.026\% \times \text{number of nights (1, on weekends = 3)}$

Overnight daily margin interest of 0.5% (BP over used) =  $(3500 - 1500 \times 2) \times 0.5\% \times \text{number of nights (1, on weekends = 3)}$

## Other fees

Trades executed on equities are subject to ECN, TAF and SEC fees. The Company has no control over ECN, TAF and SEC fees rates and these may change at any time without prior notice to the Company. The Company will notify the Trader immediately after receiving notice of changes in ECN, TAF and SEC fees by email or other electronic means.

### *TAF fee*

FINRA Trading Activity Fee (TAF Fee) rate for sales of covered equity securities is \$0.000130 per share, with corresponding per transaction cap for covered equity securities of \$6.49 for more than 50,000 shares.

### *SEC fees*

SEC fees information can be reviewed at the following link: <http://www.sec.gov/>

## ECN and Routing fees

Rev. September 2022

117 Makariou III Avenue & Sissifou (ex Lefkosias-Limnazousas) Street, Quarter of Apostoloi Petrou & Pavlou, 3021 Limassol, Cyprus  
Tel: +(357) 25 030036 | Fax: +(357) 25 030037 | E: [info@colmexpro.com](mailto:info@colmexpro.com) | W: [www.colmexpro.com](http://www.colmexpro.com)

Colmex Pro Ltd (Colmex Pro) is authorized and licensed by the Cyprus Securities and Exchange Commission – License Number 123/10

The following are the Equity ECNs' and Market Makers' routes and respective fees. The price of the fees is a mere indication and subject to changes by the route provider without prior notice to the Company.

Route	\$Remove	\$Add
ARCA*	0.0030	-0.0021
BATS	0.0029	-0.0025
CDRG	0.0030	-0.0020
EDGX	0.0030	-0.0023
NITE**	0.0000	0.0000
NSDQ	0.0030	-0.0020
SOHO	0.0030	-0.0020
UBSS	0.0030	-0.0020
CLMX	Fee charge of 0.0015\$	

\*ARCA charges \$0.03 per shares for Odd Lots (trades of less than 100 Shares)

\*\*NITE charges 0.00001 cent per share for every trade of Pink Sheets & Bulletin Board stocks priced at 10 cents or less.

#### *Pre and Post Market fees*

A pre-market and after-market trading fee apply as an integral part of the trading commission with an increase of \$0.03 per share.

#### *Platform fee*

The Company reserves the right to charge a fixed monthly fee ("platform fee") of 30 USD (or 27 EUR for EUR based accounts) per month for CFDs accounts and 55 USD per month for Equities accounts in order to maintain the account assuming that the Client's account has the available funds.

#### *Real-time Data Feed*

Nasdaq OMX Market Data Fee of \$15.1 (NYSE/AMEX \$2.4, NAS \$1.2, NAS Level II \$11.5) is charged on a monthly basis.

\* On short positions, additional fees may apply. All details will be disclosed upon request by email or phone.

#### **Corporate Action Fee:**

**Mandatory corporate action** - A mandatory corporate action is an event initiated by the board of directors of the corporation that affects all shareholders. Participation of shareholders are mandatory for these corporate actions. Examples of mandatory corporate actions include dividends, stock splits, mergers, pre-refunding, return of capital, bonus issue, asset ID change, and spin-offs.

**Voluntary corporate action** - A voluntary corporate action is an action where the shareholders elect to participate in the action. A response is required for the corporation to process the action. An example of a voluntary corporate action is a tender offer. The shareholder may or may not participate in the tender offer. Other types of voluntary actions include rights issue, making buyback offers to the shareholders while delisting the company from the stock exchange.

Rev. September 2022

117 Makariou III Avenue & Sissifou (ex Lefkosias-Limnazousas) Street, Quarter of Apostoloi Petrou & Pavlou, 3021 Limassol, Cyprus  
Tel: +(357) 25 030036 | Fax: +(357) 25 030037 | E: [info@colmexpro.com](mailto:info@colmexpro.com) | W: [www.colmexpro.com](http://www.colmexpro.com)

Colmex Pro Ltd (Colmex Pro) is authorized and licensed by the Cyprus Securities and Exchange Commission – License Number 123/10

**Mandatory with choice corporate action** - This corporate action is a mandatory corporate action where shareholders are given a chance to choose among several options. An example is cash or stock dividend option with one of the options as default. Shareholders may or may not submit their elections. In case a shareholder does not submit the election, the default option will be applied.

**Corporate action types:**

**Stock splits and reverse splits:** A stock split, divides the value of each of the outstanding shares of a company. A two-for-one stock split is most common. An investor who holds one share will automatically own two shares, each worth exactly half the price of the original share. Reverse split it is exactly the opposite, amount of shares going down, but share price go up.

**Dividends:** A dividend is the distribution of some of a company's earnings to a class of its shareholders, as determined by the company's board of directors. Common shareholders of dividend-paying companies are typically eligible as long as they own the stock before the ex-dividend date.

**Dates definitions:**

**Announcement date:** Dividends are announced by company management on the announcement date, or declaration date, and must be approved by the shareholders before they can be paid.

**Ex-dividend date:** The date on which the dividend eligibility expires is called the ex-dividend date or simply the ex-date. For instance, if a stock has an ex-date of Monday, May 5, then shareholders who buy the stock on or after that day will NOT qualify to get the dividend as they are buying it on or after the dividend expiry date. Shareholders who own the stock one business day prior to the ex-date—that is on Friday, May 2, or earlier—will receive the dividend.

**Record date:** The record date is the cutoff date, established by the company to determine which shareholders are eligible to receive a dividend or distribution.

**Payment date:** The company issues the payment of the dividend on the payment date, which is when the money gets credited to investors' accounts.

**Merger:** A merger is an agreement that unites two existing companies into one new company.

**Acquisition:** An acquisition is when one company purchases most or all of another company's shares to gain control of that company.

**Spinoff:** When a company creates a new independent company by selling or distributing new shares of its existing business, this is called a spinoff.

**Rights issue:** A rights issue, where companies offer shares at a special price to existing shareholders, could be a great opportunity to increase your shareholding in a firm. Companies turn to rights issues when they're looking to raise money, maybe for expansion or to pay

Rev. September 2022

down debt. New shares may be attractive to shareholders because they'll be cheaper than the current market price. However, shareholders aren't obliged to buy them.

**Open Offers:** An open offer entitles shareholders the opportunity to purchase more ordinary shares in the company at a price normally discounted to the market share price, in proportion to their existing holding. Entitlements from an open offer aren't tradable, so an open offer is only available to existing shareholders. An open offer gives only two options: Take up your entitlement or let it lapse. If you allow your entitlement to lapse, you won't usually receive any lapsed proceeds.

### **Corporate Action Fee**

Dividends – the Company will charge a processing fee of \$5 or 50% of the dividend value, whichever is lower.

### **Examples:**

**Case 1:** Client is holding shares (Short position) of a company that is paying dividends. The client is obliged to pay \$12.00 as a dividend for his/her holdings. In this case client's account will be debited with \$12.00 as dividend adjustment and charged \$5.00 for "Corporate Action Fee". Overall client's account will be charged \$17.

**Case 2:** Client is holding shares (Long position) of a company that is paying dividends. The client is entitled to receive \$3.80 as a dividend for his/her holdings. In this case client's account will be credited with \$3.80 as dividend payment and charged \$1.90 for "Corporate Action Fee". Overall client's account will be credited with \$1.90.

The Dividend's charge will be done after the Execution Date.

The Dividend payment will be done in Equity accounts only, after the Payment Date and upon receiving the dividend by the company on behalf of the client.

Splits and reverse splits will be done following the corporate event's Effective date.

Therefore, the Company shall apply the fees on long positions on the Payment Date, for traders who have held the position on the record date (subject to the ex-date terms which may vary), and the Company shall apply the fees on short positions, usually on the Ex-Date, unless otherwise is applicable according to the relevant market terms and Company's discretion. The actual fees may be set and recorded in the Client's account later than the Payment or Ex-Dates.

For any Voluntary corporate action or Mandatory with choice corporate action a processing fee of \$50 will be charged.

The Company reserved the right to change any of the above commonly used prices from time to time. Kindly note that some of the provisions of the contract specifications might change due to promotional offers. You are hereby requested to check your agreement with the Company and your trading terminal before placing orders.

Rev. September 2022

117 Makariou III Avenue & Sissifou (ex Lefkosias-Limnazosas) Street, Quarter of Apostoloi Petrou & Pavlou, 3021 Limassol, Cyprus  
Tel: +(357) 25 030036 | Fax: +(357) 25 030037 | E: [info@colmexpro.com](mailto:info@colmexpro.com) | W: [www.colmexpro.com](http://www.colmexpro.com)

Colmex Pro Ltd (Colmex Pro) is authorized and licensed by the Cyprus Securities and Exchange Commission – License Number 123/10

Rev. September 2022

117 Makariou III Avenue & Sissifou (ex Lefkosias-Limnazousas) Street, Quarter of Apostoloi Petrou & Pavlou, 3021 Limassol, Cyprus  
Tel: +(357) 25 030036 | Fax: +(357) 25 030037 | E: [info@colmexpro.com](mailto:info@colmexpro.com) | W: [www.colmexpro.com](http://www.colmexpro.com)

Colmex Pro Ltd (Colmex Pro) is authorized and licensed by the Cyprus Securities and Exchange Commission – License Number 123/10