Contract Specifications

Equities (Stocks)

Product Description

Equities are traded in a designated trading account through Colmex Pro 2.0 Platform or Colmex Pro Multi Trader Platform, and its base currency is USD.

Operating Times

From 9:30 to 16:00 New York time, daily from Monday to Friday.

Pre and After Market Hours

Colmex Pro 2.0 Platform

Pre-Market From 05:00 to 09:30 New York time

After-Market From 16:00 to 17:00 New York time

Colmex Pro Multi Trader Platform

Pre-Market From 07:00 to 09:30 New York time

After-Market From 16:00 to 17:00 New York time

Fees

For all types of Financial Instruments that the Company offers, the commissions, financing fees, TAF and SEC fees are not incorporated into the Company`s quoted price and are charged extra and deducted from the client`s account.

The Company offers the following tiered plans.

Tiered Plans A

Plan	Conditions	
Bronze	Minimum deposit: $2,000 Minimum order: $2.5 Order routing: $0.0015	$ 0.0055 per additional share (over 454 shares) Other fees Apply
Silver	Minimum deposit: $5,000 Minimum order: $2.5 Order routing: $0.0015	$ 0.005 per additional share (over 500 shares) Other Fees Apply
Gold	Minimum deposit: $25,000 Minimum order: $2.5 Order routing: $0.0015	$ 0.0045 per additional share (over 555 shares) Other Fees Apply
Titanium	Minimum deposit: $50,000 Minimum order: $2.25 Order routing: $0.0015	$ 0.004 per additional share (over 563 shares) Other Fees Apply
Diamond	Minimum deposit: $100,000 Minimum order: $2.00 Order routing: $0.0015	$ 0.003 per additional share (over 666 shares) Other Fees Apply

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Tiered Plans B

Plan	Conditions	
Cash Account	Minimum deposit: $1 Minimum order: $2.75 Order routing: $0.0015 Day Margin: No Overnight Margin: No Personal Account Manager: No Platform Desktop: No Platform Application: Yes IPO Participation: No	$0.01 Fee per additional share (over 275 shares) Other fees Apply
Margin Account	Minimum deposit: $1,000 Minimum order: $2.50 Order routing: $0.0015 Day Margin: 1:4 Overnight Margin: 1:2 Personal Account Manager: Yes Platform Desktop: Yes Platform Application: Yes IPO Participation: No	$0.007 Fee per additional share (over 357 shares) Other fees Apply
Bronze	Minimum deposit: $3,000 Minimum order: $2.50 Order routing: $0.0015 Day Margin: 1:6 Overnight Margin: 1:2 Personal Account Manager: Yes Platform Desktop: Yes Platform Application: Yes IPO Participation: Yes	$0.005 Fee per additional share (over 500 shares) Other fees Apply
Silver	Minimum deposit: $10,000 Minimum order: $2.25 Order routing: $0.0015 Day Margin: 1:6 Overnight Margin: 1:2 Personal Account Manager: Yes Platform Desktop: Yes Platform Application: Yes IPO Participation: Yes	$0.0045 Fee per additional share (over 500 shares) Other fees Apply
Gold	Minimum deposit: $25,000 Minimum order: $2.00 Order routing: $0.0015 Day Margin: 1:6 Overnight Margin: 1:2 Personal Account Manager: Yes Platform Desktop: Yes Platform Application: Yes IPO Participation: Yes	$0.004 Fee per additional share (over 500 shares) Other fees Apply
VIP	Minimum deposit: $100,000 Minimum order: $1.75 Order routing: $0.0015 Day Margin: 1:6 Overnight Margin: 1:2 Personal Account Manager: Yes Platform Desktop: Yes Platform Application: Yes IPO Participation: Yes	$0,0035 Fee per additional share (over 500 shares) Other fees Apply

* Clients should consult with the Company on the tiered plans applicable depending on market conditions, customer segmentation etc.

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Fixed Plans

The client may choose to request fixed fees on his trading account.

Plan	Conditions	
Bronze	Minimum deposit: $25,000 $6.95 up to 3000 shares Order routing applies	$0.006 per additional share ECN and Sec fees Apply
Silver	Minimum deposit: $50,000 $9.95 up to 6000 shares Order routing applies	$0.06 per additional share ECN and SEC Fees Apply

* Order routing is per share

**Fixed plans are made available according to the Company's sole discretion after review of client's trading volume, remaining balance and a trading model. Fixed plans might change instantaneously at the Company's sole discretion upon notification to the customer of the relevant changes prior to the subsequent opening of a trading session.

Financing fee

- Overnight daily margin interest of 0.026% applies to leveraged positions (Positions > Equity), for the difference between the value of the positions and the equity.
- An additional Overnight daily margin interest (Buying power overused) of 0.5% applies to leveraged positions that exceed twice the equity (Positions > Equity × 2), for the difference between the value of positions and equity × 2.
- The charges apply to open positions kept overnight and are calculated daily, during the weekend per three days (Friday, Saturday & Sunday).
- Cash accounts are not subject to financing fee.

For example: End of day equity: 1500. End of day positions value: 3500.

Overnight daily margin interest of 0.026% = (3500 - 1500) × 0.026% × number of nights (1, on weekends = 3)

Overnight daily margin interest of 0.5% (BP over used) = (3500 - 1500 × 2) × 0.5% × number of nights (1, on weekends = 3)

Other fees

Trades executed on equities are subject to TAF and SEC fees. The Company has no control over TAF and SEC fees rates and these may change at any time without prior notice to the Company. The Company is not required to notify the clients for changes in TAF and SEC fees by email or other electronic means.

TAF fee

For information regarding FINRA Trading Activity Fee (TAF Fee) rate for sales of covered equity securities and the corresponding per transaction cap for covered equity securities please follow the link.

SEC fees

SEC fees information can be reviewed at the following link: https://www.sec.gov/news/pressreleases.

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Additional Costs

Direct expenses	Cost
Stock borrow fee	Fee set by market – pass through as incurred
ADR fee	Fee set by market – pass through as incurred
DTC deliveries IN	No charge
DTC deliveries OUT	25$ per position

Pre and Post Market fees

A pre-market and after-market trading fee apply as an integral part of the trading commission with an increase of $0.03 per share.

Real-time Data Feed

Nasdaq OMX Market Data Fee of $15.1 (NYSE/AMEX $2.4, NAS $1.2, NAS Level II $11.5) is charged on a monthly basis.

* On short positions, additional fees may apply. All details will be disclosed upon request by email or phone.

Corporate Action Fee:

Mandatory corporate action - A mandatory corporate action is an event initiated by the board of directors of the corporation that affects all shareholders. Participation of shareholders are mandatory for these corporate actions. Examples of mandatory corporate actions include dividends, stock splits, mergers, pre-refunding, return of capital, bonus issue, asset ID change, and spin-offs.

Voluntary corporate action - A voluntary corporate action is an action where the shareholders elect to participate in the action. A response is required for the corporation to process the action. An example of a voluntary corporate action is a tender offer. The shareholder may or may not participate in the tender offer. Other types of voluntary actions include rights issue, making buyback offers to the shareholders while delisting the company from the stock exchange.

Mandatory with choice corporate action - This corporate action is a mandatory corporate action where shareholders are given a chance to choose among several options. An example is cash or stock dividend option with one of the options as default. Shareholders may or may not submit their elections. In case a shareholder does not submit the election, the default option will be applied.

Corporate action types:

Stock splits and **reverse splits**: A stock split, divides the value of each of the outstanding shares of a company. A two-for-one stock split is most common. An investor who holds one share will automatically own two shares, each worth exactly half the price of the original share. Reverse split it is exactly the opposite, amount of shares going down, but share price go up.

Dividends: A dividend is the distribution of some of a company's earnings to a class of its shareholders, as determined by the company's board of directors. Common shareholders of dividend-paying companies are typically eligible as long as they own the stock before the ex-dividend date.

Dates definitions:

Announcement date**:** Dividends are announced by company management on the announcement date, or declaration date, and must be approved by the shareholders before they can be paid.

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Ex-dividend date**:** The date on which the dividend eligibility expires is called the ex-dividend date or simply the ex-date. For instance, if a stock has an ex-date of Monday, May 5, then shareholders who buy the stock on or after that day will NOT qualify to get the dividend as they are buying it on or after the dividend expiry date. Shareholders who own the stock one business day prior to the ex-date—that is on Friday, May 2, or earlier—will receive the dividend.

Record date**:** The record date is the cutoff date, established by the company to determine which shareholders are eligible to receive a dividend or distribution.

Payment date**:** The company issues the payment of the dividend on the payment date, which is when the money gets credited to investors' accounts.

Merger: A merger is an agreement that unites two existing companies into one new company.

Acquisition: An acquisition is when one company purchases most or all of another company's shares to gain control of that company.

Spinoff: When a company creates a new independent company by selling or distributing new shares of its existing business, this is called a spinoff.

Rights issue: A rights issue, where companies offer shares at a special price to existing shareholders, could be a great opportunity to increase your shareholding in a firm. Companies turn to rights issues when they're looking to raise money, maybe for expansion or to pay down debt. New shares may be attractive to shareholders because they'll be cheaper than the current market price. However, shareholders aren't obliged to buy them.

Open Offers: An open offer entitles shareholders the opportunity to purchase more ordinary shares in the company at a price normally discounted to the market share price, in proportion to their existing holding. Entitlements from an open offer aren't tradable, so an open offer is only available to existing shareholders. An open offer gives only two options: Take up your entitlement or let it lapse. If you allow your entitlement to lapse, you won't usually receive any lapsed proceeds.

Corporate Action Fee

Dividends – the Company will charge a processing fee of $5 or 50% of the dividend value, whichever is lower.

Examples:

Case 1: Client is holding shares (Short position) of a company that is paying dividends. The client is obliged to pay $12.00 as a dividend for his/her holdings. In this case client`s account will be debited with $12.00 as dividend adjustment and charged $5.00 for "Corporate Action Fee". Overall client`s account will be charged $17.

Case 2: Client is holding shares (Long position) of a company that is paying dividends. The client is entitled to receive $3.80 as a dividend for his/her holdings. In this case client`s account will be credited with $3.80 as dividend payment and charged $1.90 for "Corporate Action Fee". Overall client`s account will be credited with $1.90.

The Dividend's charge will be done after the Execution Date.

The Dividend payment will be done in Equity accounts only, after the Payment Date and upon receiving the dividend by the company on behalf of the client.

Splits and reverse splits will be done following the corporate event's Effective date.

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Therefore, the Company shall apply the fees on long positions on the Payment Date, for traders who have held the position on the record date (subject to the ex-date terms which may vary), and the Company shall apply the fees on short positions, usually on the Ex-Date, unless otherwise is applicable according to the relevant market terms and Company's discretion. The actual fees may be set and recorded in the Client's account later than the Payment or Ex-Dates.

For any Voluntary corporate action or Mandatory with choice corporate action a processing fee of $50 will be charged.

Trading Hours

Instrument	Trading Hours
US Stocks and ETFs	09:30 - 16:00 (New York)

The Company reserves the right to change any of the above commonly used prices from time to time. Kindly note that some of the provisions of the contract specifications might change due to promotional offers. You are hereby requested to check your agreement with the Company and your trading terminal before placing orders.